



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Glenn Butler  
Title: METHODS AND APPARATUS FOR LIGHT THERAPY  
Serial No.: 10/613,608  
Filed: July 3, 2003

Transmitted herewith is:

- ☒ Information Disclosure Statement  
☒ PTO Form 1449 with fourteen cited references  
☒ Return Postcards

| FEE CALCULATION    |              |                         |              |           |        |
|--------------------|--------------|-------------------------|--------------|-----------|--------|
| Fee Items          | Claims Filed | Included With Basic Fee | Extra Claims | Fee Rate  | Total  |
| Total Claims       | N/A          | - 20 =                  | -0-          | X \$18.00 | \$0.00 |
| Independent Claims | N/A          | - 3 =                   | -0-          | X \$84.00 | \$0.00 |
| Basic Filing Fee   |              |                         |              | \$740.00  | \$0.00 |
| TOTAL FEES         |              |                         |              |           | \$0.00 |

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:  
**Brian M. Dugan**  
**Dugan & Dugan, PC**  
**18 John Street**  
**Tarrytown, NY 10591**

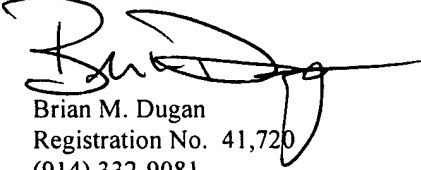
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to:  
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Date of Deposit: October 16, 2003

Signature: 

Respectfully submitted,

  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



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PATENTS  
LS-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Glenn Butler  
Serial No. : 10/613,608  
Filed : July 3, 2003  
For : METHODS AND APPARATUS FOR LIGHT THERAPY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 6,019,482, Everett  
U.S. Patent No. 6,241,697 B1, Augustine  
U.S. Patent No. 6,353,763 B1, George et al.  
U.S. Patent No. 6,334,069 B1, George et al.  
U.S. Patent No. 5,649,972 , Hochstein  
U.S. Patent No. 5,413,587, Hochstein  
U.S. Patent No. 5,278,432, Ignatius et al.  
U.S. Patent No. 5,660,461, Ignatius et al.  
U.S. Patent No. 5,728,090, Martin et al.  
U.S. Patent No. 6,290,713 B1, Russell

U.S. Patent No. 6,221,095 B1, Van Zuylen et al.

U.S. Patent No. 6,048,359, Biel


U.S. Patent No. 5,151,096, Khoury

U.S. Patent No. 5,698,886, Doiron et al.

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449) and copies are enclosed.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a long horizontal flourish extending to the right.

Brian M. Dugan Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated:       October 16, 2003  
              Tarrytown, New York

|   |    |                 |                 |                   |   |          |  |    |
|---|----|-----------------|-----------------|-------------------|---|----------|--|----|
| U.S. Department of Commerce, Patent and Trademark Office<br><br><div style="display: flex; align-items: center;"> <div> <b>LIST OF RELEVANT ART CITED BY APPLICANT</b><br/>           (Use several sheets if necessary)         </div> </div> |    |                 |                 |                   | Docket No.:<br><div style="text-align: center;">LS-001</div>    |          | Serial No.:<br><div style="text-align: center;">10/613,608</div> |    |
|   |    |                 |                 |                   | Applicant(s):<br>Glenn Butler                                   |          |  |    |
|   |    |                 |                 |                   | Filing Date:<br><div style="text-align: center;">07/03/03</div> |          | Group:<br><div style="text-align: center;">_____</div>           |    |
| U.S. Patent Documents   |    |                 |                 |                   |   |          |  |    |
| *Examiner Initial   |    | Document Number | Issue Date      | Name              | Class   | Subclass | Filing Date If Appropriate                                       |    |
|   | AA | 6,019,482       | 02/01/00        | Everett           |   |          |  |    |
|   | AB | 6,241,697 B1    | 06/05/01        | Augustine         |   |          |  |    |
|   | AC | 6,353,763 B1    | 03/05/02        | George et al.     |   |          |  |    |
|   | AD | 6,334,069 B1    | 12/25/01        | George et al.     |   |          |  |    |
|   | AE | 5,649,972       | 07/22/97        | Hochstein         |   |          |  |    |
|   | AF | 5,413,587       | 05/09/95        | Hochstein         |   |          |  |    |
|   | AG | 5,278,432       | 01/11/94        | Ignatius et al.   |   |          |  |    |
|   | AH | 5,660,461       | 08/26/97        | Ignatius et al.   |   |          |  |    |
|   | AI | 5,728,090       | 03/17/98        | Martin et al.     |   |          |  |    |
|   | AJ | 6,290,713 B1    | 09/18/01        | Russell           |   |          |  |    |
|   | AK | 6,221,095 B1    | 04/24/01        | Van Zuylen et al. |   |          |  |    |
| Foreign Patent Documents  |    |                 |                 |                   |   |          |  |    |
|   |    |                 |                 |                   |   |          | Translation  |    |
|   |    | Document Number | Date            | Country           | Class   | Subclass | Yes  | No |
|   | AL |                 |                 |                   |   |          |  |    |
|   | AM |                 |                 |                   |   |          |  |    |
|   | AN |                 |                 |                   |   |          |  |    |
|   | AO |                 |                 |                   |   |          |  |    |
|   | AP |                 |                 |                   |   |          |  |    |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |                 |                 |                   |   |          |  |    |
|   | AR |                 |                 |                   |   |          |  |    |
|   | AS |                 |                 |                   |   |          |  |    |
|   | AT |                 |                 |                   |   |          |  |    |
| Examiner  |    |                 | Date Considered |                   |   |          |  |    |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.     |    |                 |                 |                   |   |          |  |    |

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

LS-001

Serial No.:

10/613,608

## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Glenn Butler

Filing Date:

July 3, 2003

Group:

## U.S. Patent Documents

| *Examiner Initial |    | Document Number | Issue Date | Name          | Class | Subclass | Filing Date If Appropriate |
|-------------------|----|-----------------|------------|---------------|-------|----------|----------------------------|
|                   | AA | 6,048,359       | 04/11/00   | Biel          |       |          |                            |
|                   | AB | 5,151,096       | 09/29/92   | Khoury        |       |          |                            |
|                   | AC | 5,698,886       | 12/16/97   | Doiron et al. |       |          |                            |
|                   | AD |                 |            |               |       |          |                            |
|                   | AE |                 |            |               |       |          |                            |
|                   | AF |                 |            |               |       |          |                            |
|                   | AG |                 |            |               |       |          |                            |
|                   | AH |                 |            |               |       |          |                            |
|                   | AI |                 |            |               |       |          |                            |
|                   | AJ |                 |            |               |       |          |                            |
|                   | AK |                 |            |               |       |          |                            |

## Foreign Patent Documents

## Translation

|  |    | Document Number | Date | Country | Class | Subclass | Yes | No |
|--|----|-----------------|------|---------|-------|----------|-----|----|
|  | AL |                 |      |         |       |          |     |    |
|  | AM |                 |      |         |       |          |     |    |
|  | AN |                 |      |         |       |          |     |    |
|  | AO |                 |      |         |       |          |     |    |
|  | AP |                 |      |         |       |          |     |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AR |  |
|  | AS |  |
|  | AT |  |

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.